Attorney Docket: 3743/49008

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

LORENZ POELLINGER ET AL.

Serial No.:

09/922,958

Filed:

AUGUST 7, 2001

Title:

MECHANISM OF CONDITIONAL REGULATION OF THE HYPOXIA-INDUCIBLE FACTOR-1 BY THE VON HIPPEL-LINDAU TUMOR

SUPPRESSOR PROTEIN

SUBMISSION OF MISSING PARTS IN APPLICATION

Box Missing Parts

Commissioner for Patents Washington, D.C. 20231

Sir:

Attached hereto please find:

- 1. The Notice to File Missing Parts of Application.
- 2. The executed Declaration.
- 3. Assignment for recordation, including a check in the amount of \$40.00.
- 4. Substitute specification with clean copy and marked-up copy.
- 5. Preliminary Amendment.
- 6. A check in the amount of \$3,882.00 in payment of the basic filing fee of \$740.00, the \$3,012.00 additional claim fee, and the surcharge of \$130.00 for late submission of the Declaration.

Please credit any overpayments or charge any additional fees to the Deposit Account of Crowell & Moring LLP, Account Number 05-1323 (Docket #3743/49008). A duplicate copy of this letter is attached.

Respectfully submitted,

December 3, 2001

J. D. Evans

Registration No. 26,269

CROWELL & MORING, LLP P.O. Box 14300

Washington, DC 20044-4300 Telephone No.: (202) 624-2500

Facsimile No.: (202) 628-8844



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FILING/RECEIPT DATE APPLICATION NUMBER 09/922.958 DEC 0 3 2001 23911 CROWELL & MORING LLP

INTELLECTUAL PROPERTY GROUP

WASHINGTON, DC 20044-4300

08/07/2001

FIRST NAMED APPLICANT Lorenz Poellinger

ATTORNEY DOCKET NUMBER

3743/49008

CONFIRMATION NO. 9818

FORMALITIES LETTER

OC000000006836412

Date Mailed: 10/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/04/2001 SMINASS1 00000085 09922958

P.O. BOX 14300

FILED UNDER 37 CFR 1.53(b)

01 FC:101 02 FC:103 03 FC:102 04 FC:105

740,00 OP 828.00 OP 2184.00 OP 130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2908.
 - \$828 for 46 total claims over 20.
 - \$2080 for 26 independent claims over 3.
- The oath or declaration is missing.
 - A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3748.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE